



1772

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PTO/SB/21 (08-00)  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/935,462
	Filing Date	August 22, 2001
	First Named Inventor	Lisa DHAR
	Group Art Unit	1772
	Examiner Name	To Be Assigned
Total Number Of Pages In This Submission	9 + 4 references	Attorney Docket No. 495812000300

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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Four (4) References
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 Christopher B. Eide (Registration No. 48,375)
Signature	
Date	February 27, 2003

<b>CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"</b>
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PATENT  
Docket No. 495812000300

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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GROUP 1700

In the application of:

Lisa DHAR et al.

Serial No.: 09/935,462

Filing Date: August 22, 2001

For: METHOD AND APPARATUS FOR AN  
ENCASED OPTICAL ARTICLE

Examiner: To Be Assigned

Group Art Unit: 1772

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

*Supplemental Information Disclosure Statement based on a Search Report:*

The documents listed on the attached Form PTO-1449 were cited in an International Search Report (copy attached) dated January 3, 2003, directed to a counterpart international or foreign application and copies are enclosed herewith.

This Supplemental Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)


Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 495812000300. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: February 27, 2003

Respectfully submitted,

By:   
Christopher B. Eide  
Registration No. 48,375

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